




## Slide 1



# Heart Failure and Sleep Apnoea

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## Slide 2

### Declaration of Interests

- Research grants administered by Imperial College London from Bayer, Boston Scientific, Abbott, Medtronic, and ResMed
- Consultancy and speaker fees from ResMed, Servier, Novartis, Pfizer, Bayer, Medtronic, Boston Scientific, St Jude Medical, Alere, Daiichi-Sankyo, Bristol Myers Squibb, Roche, Amgen, MSD, Respicardia, Sorin
- Non-Executive Director of the National Institute for Health and Care Excellence (NICE) in England  
*but opinions are my own*

## Slide 3

### Case study #1



47 year old  
BMI 32  
Treated HBP  
Type 2 DM

Slide 4

Epworth Sleepiness Scale

How likely are you to doze off or fall asleep in the following situations?  
Answer considering how you have felt over the past week or so.

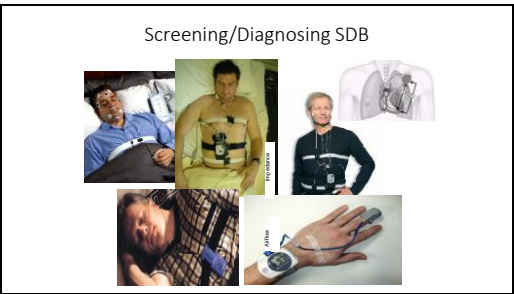
0 = Would never doze  
1 = Slight chance of dozing  
2 = Moderate chance of dozing  
3 = High chance of dozing

|  |   |
|--|---|
| 1. Sitting and reading   | 2 |
| 2. Watching TV   | 2 |
| 3. Sitting inactive in a public place (e.g., theater or meeting) | 3 |
| 4. As a passenger in a car for an hour without a break           | 0 |
| 5. Lying down to rest in the afternoon when able                 | 3 |
| 6. Sitting and talking to someone                                | 0 |
| 7. Sitting quietly after a lunch without alcohol                 | 2 |
| 8. In a car while stopped for a few minutes in traffic           | 0 |

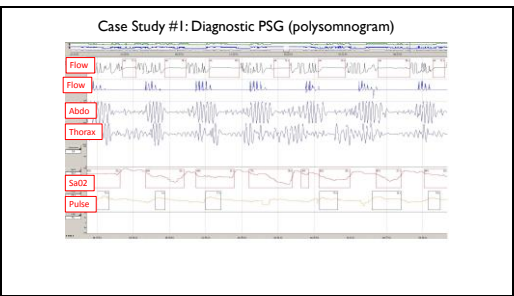
Johns MR. A new method of measuring daytime sleepiness: The Epworth Sleepiness Scale. Sleep 14(6):540-545, 1991.

12/24

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Slide 6



Slide 7

Q1

• What diagnosis would you make?

- ? A. No abnormality
- ? B. Central sleep apnoea
- ? C. Obstructive sleep apnoea
- ? D. Narcolepsy
- ? E. Parkinson's Disease

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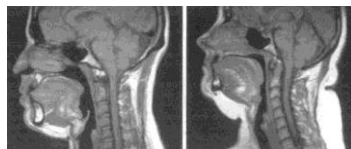
Q1

• What diagnosis would you make?

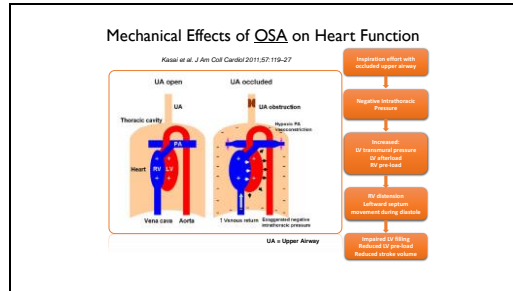
- ? A. No abnormality
- ? B. Central sleep apnoea
- ? C. Obstructive sleep apnoea
- ? D. Narcolepsy
- ? E. Parkinson's Disease

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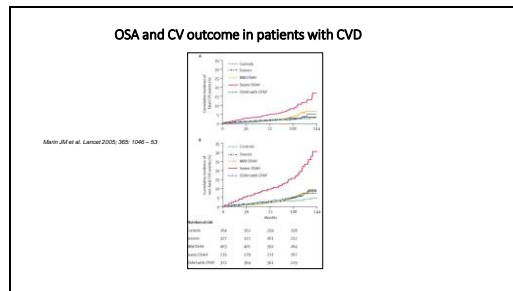
Obstructive sleep apnoea



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Slide 11



Slide 12

**What treatment would you recommend?**

? A. Weight loss  
? B. Jaw splint  
? C. Home oxygen concentrator  
? D. CPAP therapy  
? E. CPAP therapy and weight loss

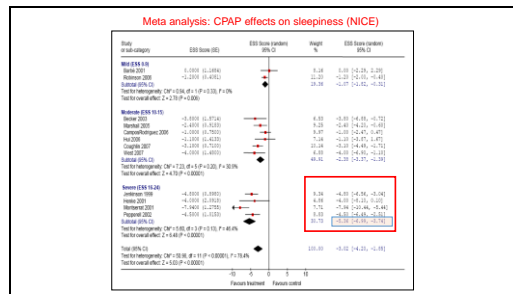
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What treatment would you recommend?

- ? A. Weight loss
- ? B. Jaw splint
- ? C. Home oxygen concentrator
- ? D. **CPAP therapy**
- ? E. CPAP therapy and weight loss

A man is shown in profile, wearing a CPAP mask connected to a CPAP machine. The machine is a small, black, rectangular device with a screen and a dial. A blue and grey tube connects the machine to the mask. The man is wearing a white t-shirt.

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**SAVE**  
STUDY  
ANALYSIS  
VARIABLES  
EVALUATION

The Sleep Apnea **cardioVascular Endpoints** study

**Inclusion criteria**

- Age 45-75 years
- Coronary or cerebrovascular disease
- Moderate-severe OSA
  - ApneaClim™, ResMed, 4% oxygen desaturation index, ODI > 12 events/h
- Able to use CPAP mask
  - At least 3h/night during 3 week home CPAP trial
- Able and willing to give informed consent

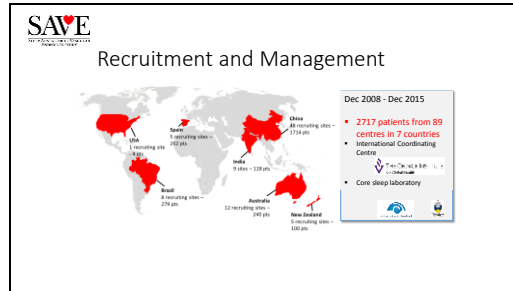
© 2016 by M. D. Wessling 2016 05/05/16 02

**Exclusion criteria**

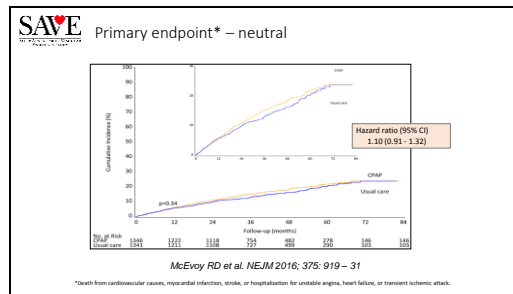
- Severe sleepiness or risk of fall-asleep accident
  - Epworth sleepiness scale >15, fall asleep or near miss accident last 12 months, or commercial driver
- Severe oxygen desaturation
  - >10% recording time with  $\text{SaO}_2$  <80%
- Heart Failure NYHA Class III-IV
- Chyemic Strokes respiration
- Prior CPAP use
- Other condition which in opinion of investigator made patient unsuitable

McEvoy RD et al. NEJM 2016; 375: 919 – 31

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**SAVE**  
SLEEP APNOEA VENTILATION EVALUATION

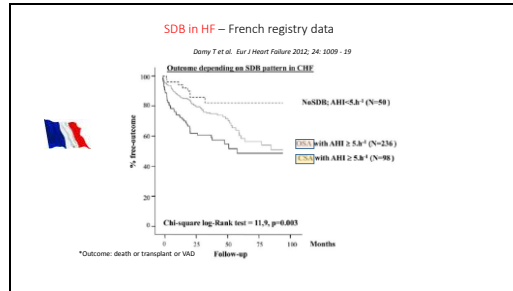
### Sleepiness, mood, QoL, work and safety

CPAP+Usual Care versus Usual Care

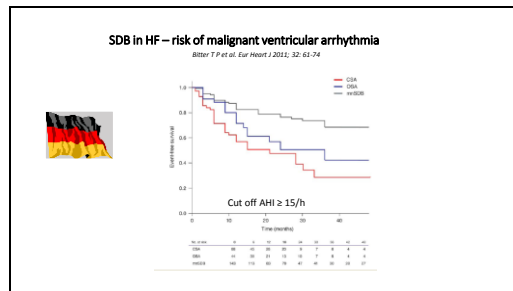
- Improved**
  - Epworth sleepiness score ( $p < 0.001$ )
  - HADS anxiety ( $p = 0.002$ ) and depression ( $p < 0.001$ ) scores
  - SF36 physical ( $p = 0.002$ ) and mental ( $p < 0.001$ ) component scores
  - Work days lost because of ill-health ( $p < 0.001$ )
- No significant difference**
  - Serious adverse events
  - Accidents

McEvoy RD et al. NEJM 2016; 375: 919 - 31

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## Slide 20



## Slide 21

**ADVENT-HF...**  
more evidence to come

- RCT: NCT 01128816
- ASV effect on survival and hospital admission in heart failure
- LVEF ≤ 45%; AHI ≥ 15; ESS ≤ 10
- **OSA or CSA**

• Event driven: 540 endpoints – death, or first hospitalisation, or onset of new Afib/flutter requiring anticoagulation, or appropriate ICD shock not leading to hospitalisation

Lyons et al. Eur J Heart Fail 2017; 19: 879 – 887

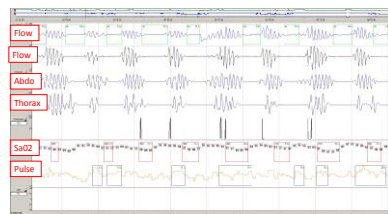
## Slide 22

### Case study #2

- Patient: AS; male
- Age: 78
- BMI: 26.4
- ESS: 7/24 (no daytime sleepiness)
- Fatigue
- Nocturia: 3x
- Nocturnal dyspnoea
- HFrEF (EF 21%), NYHA III
- Recurrent hospitalisations (3 decompensations in the last 12 months despite guideline-based medical therapy)

## Slide 23

### Case study #2 – diagnostic PSG



## Slide 24

What diagnosis do you suspect?

- ? A. No abnormality
- ? B. Central sleep apnoea
- ? C. Obstructive sleep apnoea syndrome
- ? D. Narcolepsy



## Slide 25

What diagnosis do you suspect?

- ? A. No abnormality
- ? B. Central sleep apnoea
- ? C. Obstructive sleep apnoea syndrome
- ? D. Narcolepsy

## Slide 26

What treatment would you recommend?

- ? A. Weight loss
- ? B. Ensure medication compliance and optimisation only
- ? C. Home oxygen concentrator
- ? D. CPAP therapy
- ? E. Servo-assisted ventilation (ASV)

## Slide 27

### CANPAP

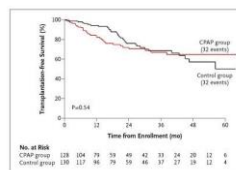
Bradley, T. et al. *N Engl J Med* 2005;353:2025-2033

- Randomized open label trial at 11 centres
- Adults aged 18-79
- Stable NYHA Class II to IV HF
- LVEF < 40%
- CSA: AHI  $\geq 15$  (>50% central rather than obstructive)

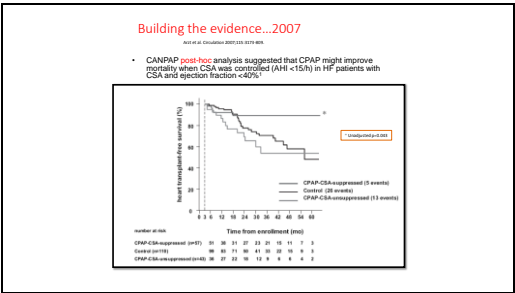
- 258 patients followed-up for mean of 2 years
- Age  $63 \pm 10$  yrs, EF  $24 \pm 8\%$ , AHI  $40 \pm 16$

- Trial stopped early on recommendation of DSMB at first interim analysis

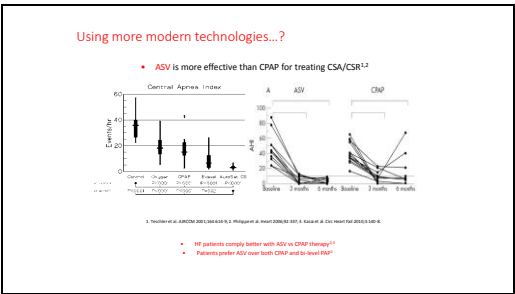
- NEUTRAL result



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SERVE HF: Objective

To investigate the effects of adding ASV to guideline-based medical management on survival and cardiovascular outcomes in patients with heart failure with reduced ejection fraction (HFrEF) and predominant CSA




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SERVE-HF design

Primary combined endpoint: all-cause mortality or life-saving CV intervention or unplanned hospitalization for worsening HF

- NYHA Class II–IV HF
- Ischaemic, hypertensive or dilated cardiomyopathy
- LVEF < 45%
- Optimal medical therapy (OMT) for ≥ 1 month
- CSA-AHI ≥ 15/hr & > 50% central episodes & central AHI ≥ 10/hr

- 91 centres in 11 countries
- Randomized 1:1 to OMT or OMT + ASV
- ASV initiated over 2–3 nights in-hospital (with check at 2 weeks): starting at PEEP 5 cm H<sub>2</sub>O and minimum PEEP 3 cm H<sub>2</sub>O, maximum PEEP 10 cm H<sub>2</sub>O
- Mask usage monitored





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8x more events than CANPAP

| Event   | Control<br>n=100                  | Adaptive Service<br>n=100         | Hazard Ratio<br>(95% CI) | P-value |
|---|-----------------------------------|-----------------------------------|--------------------------|---------|
| Primary end-point                                     | 145 (20.4%)<br>(95% CI 17.1–23.8) | 180 (24.1%)<br>(95% CI 21.2–27.0) | 1.68<br>(1.35–2.10)      | 0.001   |
| First secondary end-point                             | 117 (16.5%)<br>(95% CI 13.9–19.1) | 141 (19.5%)<br>(95% CI 16.9–22.1) | 1.25<br>(1.01–1.54)      | 0.04    |
| Second secondary end-point                            | 140 (19.6%)<br>(95% CI 16.9–22.3) | 182 (25.4%)<br>(95% CI 22.7–28.1) | 1.73<br>(1.42–2.12)      | 0.001   |
| Death from any cause                                  | 146 (20.6%)<br>(95% CI 17.9–23.3) | 182 (25.4%)<br>(95% CI 22.7–28.1) | 1.25<br>(1.01–1.54)      | 0.04    |
| Cardiovascular death                                  | 128 (18.0%)<br>(95% CI 15.6–20.4) | 159 (21.8%)<br>(95% CI 19.3–24.3) | 1.30<br>(1.06–1.59)      | 0.009   |
| Rehospitalization for any cause                       | 140 (19.6%)<br>(95% CI 16.9–22.3) | 182 (25.4%)<br>(95% CI 22.7–28.1) | 1.25<br>(1.01–1.54)      | 0.04    |
| Unplanned hospitalization for worsening heart failure | 117 (16.5%)<br>(95% CI 13.9–19.1) | 141 (19.5%)<br>(95% CI 16.9–22.1) | 1.25<br>(1.01–1.54)      | 0.04    |
| Heart transplantation                                 | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |
| Implantation of long-term VAD                         | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |
| Reoperation   | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |
| Reoperation for postoperative death                   | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |
| Adverse event death                                   | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |
| Noncardiovascular death                               | 1 (0.1%)<br>(0–0.3)               | 0 (0%)<br>(0–0.3)                 | 0.00<br>(0.00–0.00)      | 0.99    |

425 deaths

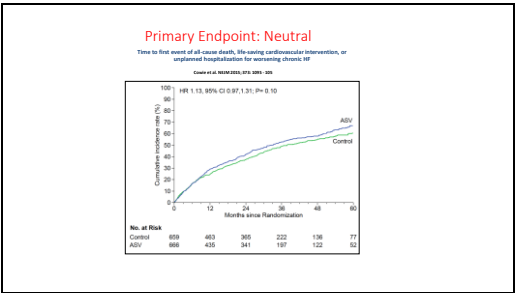
357 CV deaths

559 pts WHF hosp

20 heart tx

26 VAD

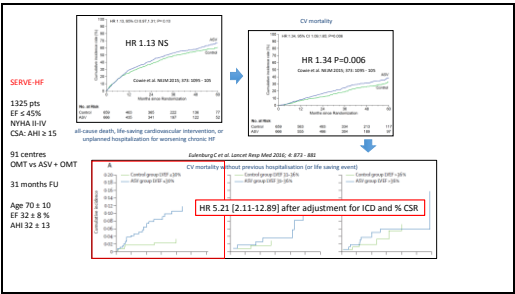
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The incidence of the primary endpoint did not differ significantly between the ASV and control groups (event rates 54.1% and 50.8%, respectively).

Because the first and second secondary end points were pre-specified to be analyzed hierarchically only if the null hypothesis for the primary end point was rejected, the results of those analyses are considered exploratory; there was however also no significant difference between the two groups for either of these end points.

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## Slide 36

### Imaging and biomarker sub-study

Baseline and 12 month data

- No between-group differences over 12 months in:
  - LVEF
  - LVEDD/ESD
  - LA size
  - RVF, E/e', DT etc
  - RV dimensions or TAPSE
  - Estimated PA pressure
  - BNP
  - hsTnT, hsTnI, ST2, galactin
  - Cystatin C, creatinine, NGAL
  - Hs CRP, TNF- $\alpha$




*Eur J Heart Failure 2018; 20: 538 – 544*

## Slide 37

### ADVENT-HF...

more evidence to come



- RCT: NCT 01128816
- ASV effect on survival and hospital admission in heart failure
- LVEF  $\leq$  45%; AHI  $\geq$  15; ESS  $\leq$  10
- OSA or CSA
- Event driven: 540 endpoints
  - death, or first hospitalisation, or onset of new Afib/flutter requiring anticoagulation, or appropriate ICD shock not leading to hospitalisation

*Lyons et al. Eur J Heart Fail 2017; 19: 579 – 587*

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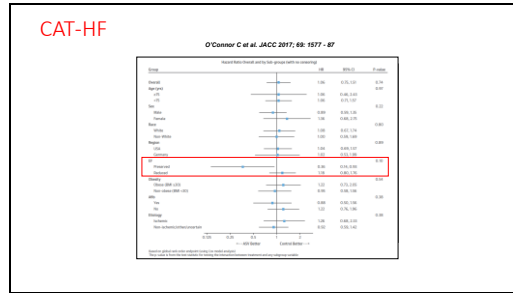
### CAT-HF: SDB in acute heart failure

**METHODS** Eligible patients hospitalized with HF and moderate-to-severe sleep apnea were randomized to ASV plus optimized medical therapy (OMT) or OMT alone (control). The primary endpoint was a composite global rank score (hierarchy of death, cardiovascular hospitalizations, and percent changes in 6-min walk distance) at 6 months.

**RESULTS** 126 of 215 planned patients were randomized; enrollment was stopped early following release of the SERVE-HF (Adaptive Servo-Ventilation for Central Sleep Apnea in Systolic Heart Failure) trial results. Average device usage was 2.7 h/night. Mean number of events measured by the apnea-hypopnea index decreased from 35.7/h to 2.1/h at 6 months in the ASV group versus 35.1/h to 19.0/h in the control group ( $p < 0.0001$ ). The primary endpoint did not differ significantly between the ASV and control groups ( $p = 0.52$  Wilcoxon). Changes in composite endpoint components were not significantly different between ASV and control. There was no significant interaction between treatment and ejection fraction ( $p = 0.30$  Cox model); however, pre-specified subgroup analysis suggested a positive effect of ASV in patients with HF with preserved ejection fraction ( $p = 0.036$ ).

*O'Connor C et al. JACC 2017; 69: 1077 – 87*

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The remedē<sup>®</sup> System

CAUTION: Investigational device. Limited by Federal (or United States) law to investigational use.

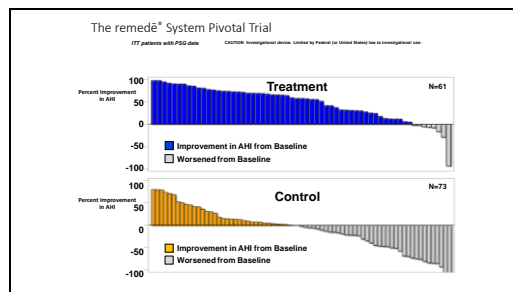
- Provides TRANSVENOUS UNILATERAL STIMULATION of the phrenic nerve
- Treats patients AUTOMATICALLY AND CONTINUOUSLY throughout the entire night and requires no patient adherence
- IMPLANTED BY CARDIOLOGISTS experienced with implantable devices
- Generates NEGATIVE PRESSURE which augments cardiac output without decreasing cardiac output

Source: Dr. Robert A. Neumar et al. Effects of vagus nerve stimulation on cardiac output and pulmonary pressure in patients with heart failure. Circulation 2010; 121: 1000-1005.

Product: The remedē System, Inc. The remedē System is a registered trademark of The remedē System, Inc. All other trademarks are the property of their respective owners.

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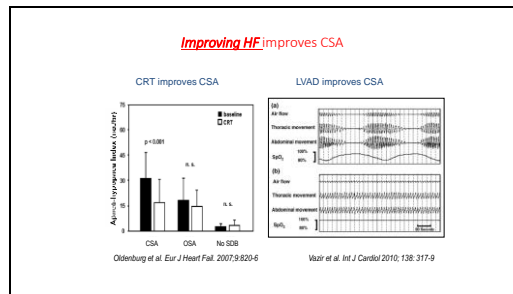


This slide shows the distribution of changes in AHI by randomized group.

As you can see in the control group, with no treatment, over half of the patients demonstrated no change or deteriorated. However, 87% of the treatment group demonstrated an improvement, which ranged from 5.1% to 98%. **These are clinically meaningful changes for this respiratory disease.**

These graphs show the relative benefit of remedē vs control beyond the data summarized in the primary endpoint.

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## Slide 43

What treatment would you recommend for CSA?

- ? A. Weight loss
- ? B. Ensure medication compliance and optimisation only
- ? C. Home oxygen concentrator
- ? D. CPAP therapy
- ? E. Servo-assisted ventilation (ASV)

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What treatment would you recommend for CSA?

? A. Weight loss

? B. **Ensure medication compliance and optimisation only**

? C. Home oxygen concentrator

? D. CPAP therapy

? E. Servo-assisted ventilation (ASV)

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Treatments not recommended of other co-morbidities in patients with heart failure

| Recommendations   | Class <sup>a</sup> | Level <sup>b</sup> | Ref <sup>c</sup> |
|---|--------------------|--------------------|------------------|
| <b>Sleep apnoea</b>   |                    |                    |                  |
| Adaptive servo-ventilation is not recommended in patients with NYHA class II-IV HF and a predominant central sleep apnoea because of an increased adverse and cardiovascular mortality. | III                | B                  | 473              |

Adapted from: Journal of the American College of Cardiology 2017; 69(12):1241-1251

**III: Harm**  
See Online Data Supplement G.

**B-R**

**In patients with NYHA class II-IV HF and central sleep apnoea, adaptive servo-ventilation causes harm (203).**

**NEW:** New data demonstrate a signal of harm when adaptive servo-ventilation is used for central sleep apnoea.

What the guidelines say

Henry CW et al. JACC 2017 doi:10.1016/j.jacc.2017.04.025

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**IIIb**  
See Online Data Supplement G.

**B-R**

**In patients with cardiovascular disease and obstructive sleep apnoea, CPAP may be reasonable to improve sleep quality and daytime sleepiness (204).**

**NEW:** New data demonstrate the limited scope of benefit expected from CPAP for obstructive sleep apnoea.

| Recommendations for Treatment of Sleep Disorders |             |  |  |
|--|-------------|--|--|
| COR  | LOE         | Recommendations  | Comment/Rationale  |
| <b>IIa</b><br>See Online Data Supplement G.      | <b>C-LD</b> | <b>In patients with NYHA class II-IV HF and suspicion of sleep disordered breathing or excessive daytime sleepiness, a formal sleep assessment is reasonable (200, 201).</b> | <b>NEW:</b> Recommendation reflects clinical necessity to distinguish obstructive versus central sleep apnoea. |

Henry CW et al. JACC 2017 doi:10.1016/j.jacc.2017.04.025

What the guidelines say



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### 'Take home' messages



- OSA is common in obese, hypertensive, diabetic, CHD patients with no heart failure
- If daytime sleepiness – think OSA - and offer CPAP

• For HFrEF patients **NO** randomised trial evidence of improvement in outcome with treating SDB as yet – and possibility of harm from treating CSA

- Little known about HFpEF; Acute HF; or OSA in HFrEF, but

- **Watch this space!**